PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KRAEHENBUEHL, ET AL.

Serial No.: 09/967,045

Filed: October 1, 2001

For: ON-LINE REINSURANCE

AND METHOD

CAPACITY AUCTION SYSTEM

Art Unit: 2166

Examiner: Not Yet Assigned

THIRD IDENTIFICATION OF COPENDING APPLICATION(S)

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicants wish to identify the following copending application or applications which may contain technical subject matter related to that of the present invention:

Serial No.

Filed

Attorney Docket No.

Patent No.

11/048,764

February 3, 2005

SRE0008-US

This identification is not to be construed as a waiver of confidentiality as to the cited application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited copending application or applications and the art cited therein during examination of the present application.

Serial No.: 09/967.045 Attorney's Docket No.: SRE0001-US

Page 2

Art Unit: 2166

DO NOT PRINT THE ABOVE CITATION(S) IN ANY PATENT THAT ISSUES FROM THE ABOVE-CAPTIONED APPLICATION OR ANY OTHER RELATED APPLICATION.

PILLSBURY WINTHROP SHAW PITTMAN LLP 1650 Tysons Boulevard McLean, VA 22102 Tel: (703) 770-7606

Respectfully submitted,

KRAEHENBUEHJ, ET AL.

Date: August/5, 2005

By: Michael Bednarek
Registration No. 32,329

MDB/ge

Customer No. 28970

Document#: 1330005 v.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KRAEHENBUEHL, ET AL.

Serial No.: 09/967,045

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CAPACITY AUCTION SYSTEM AND METHOD

Art Unit: 2166

Examiner: Not Yet Assigned

SEVENTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each non-U.S. reference is enclosed herewith

The undersigned believes that this Seventh Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Seventh Information Disclosure Statement is filed, please accept this Seventh Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 03-3975, under Docket No. SRE0001-US and proceed to consider this Seventh Information Disclosure Statement.

Serial No.: 09/967,045 Attorney's Docket No.: SRE0001-US

Art Unit: 2166

It is respectfully requested that the information be expressly considered during the

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prosecution of this application, and that each reference be made of record therein and appear

among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or

constitutes "prior art." If it should be determined that one or more of the referenced documents

constitute "prior art" under United States law, Applicants reserve the right to present to the

Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability

of the disclosed invention over any referenced document, should it be applied against the claims

of the present application.

PILLSBURY WINTHROP SHAW PITTMAN LLP

1650 Tysons Boulevard McLean, VA 22102

Tel: (703) 770-7606

Respectfully submitted,

KRAEHENBUDAL, ET AL.

Date: August 5, 2005

Michael Bednarek Registration No. 32,329

MDB/ge

Customer No. 28970

Document #: 1330003 v.1

OIPE COS

Examiner

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB Complete if Known Substitute for form 1449A/PTO **Application Number** 09/967 045 INFORMATION DISCLOSURE Filing Date October 1, 2001 KRAEHENBUEHL, ET AL STATEMENT BY APPLICANT First Named inventor Art Unit 2166 (use as many sheets as necessary) Not Yet Assigned Examiner Name Attorney Docket Number of 2 SRE0001-US Sheet 1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Number- Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	
		US-2003/0195776	10/06/2003	Moore et al.	
		US-			
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		US.			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
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Signature

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 Complete if Known

09/967,045

October 1, 2001

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number Filing Date

First Named Inventor

				Art Unit	2166			
(use as many sheets as necessary)			ecessary)	Examiner Name	Examiner Name Not Yet Assigned			
Sheet	2		of 2	Attorney Docket Number	SRE0001-US			
	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials						T ²		

Examiner Signature	Date Considered	

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^{*} EXAMINER: Initial if reference considered, whether or not obtains is in conformence with MPEP 609. Draw line through citesion if not in conformence and not considered. Include copy of this form with next communication to epilicant.

*Applicant's unique obtained resignation number (politicans). *Applicant is to piace of check mark here if English language Translation is ettached.